

國立臺灣大學博、碩士學位論文違反學術倫理案件處理要點

103.01.03 102 學年度第 1 學期第 2 次教務會議通過
105.10.21 105 學年度第 1 學期第 1 次教務會議修正通過
108.03.22 107 學年度第 2 學期第 1 次教務會議修正通過
108.06.14 107 學年度第 2 學期第 2 次教務會議修正通過
109.03.20 108 學年度第 2 學期第 1 次教務會議修正通過
111.10.14 111 學年度第 1 學期第 1 次教務會議通過
111.10.21 發布修正第一、三、五至十一、十四條

- 一、本校為確立博、碩士學位論文違反學術倫理案件之公正客觀處理程序，依學位授予法第十七條及專科以上學校學術倫理案件處理原則規定，訂定國立臺灣大學博、碩士學位論文違反學術倫理案件處理要點（下稱本要點）。
- 二、本要點所稱博、碩士學位論文，係指本校依學位授予法所授予博、碩士學位之論文。
- 三、本要點所稱違反學術倫理行為，指以下各款行為：
 - （一）抄襲：指援用他人資料未註明出處。註明出處不當，情節重大者，以抄襲論。
 - （二）舞弊：指有造假或變造之不當行為者。造假，指虛構或偽造不存在之資料，或是論文由他人代寫。變造，指不實變更資料。
 - （三）其他違反學術倫理之行為，經本校權責機關審定者。抄襲、舞弊或其他違反學術倫理行為之認定，須經本校相關學院組成之學位論文違反學術倫理審定委員會（下稱審定委員會）審定。
- 四、博、碩士學位論文違反學術倫理之檢舉，檢舉人應以書面載明具體事實，檢附證據，並具署真實姓名、聯絡電話及地址，經查證確為檢舉情事，應即進入處理程序。檢舉人之身分應予嚴格保密。
對於匿名檢舉之案件或其他情形之舉發，本校教務處於必要時，得依職權主動處理。
- 五、本校教務處為受理單位，教務處於接獲檢舉案件後，經教務長及教務處人員於四個工作日內完成形式要件審查後，確認是否受理。因形式要件不符而不予受理者，以書面通知檢舉人後結案；對於受理之檢舉案件，移請被檢舉人所屬學院於十個工作日內組成審定委員會，並於接獲檢舉案後二個月內完成審定，其程序應以秘密方式為之。
- 六、審定委員會之組成、開會及決議，應依下列規定辦理：
 - （一）審定委員會由被檢舉人所屬學院院長、系（所、學位學程）主管、

院長遴聘之校內外專業、法律領域之公正學者及相關專家共五至七人組成，原系（所、學位學程）人員不超過三分之一，審定委員會之名單應予保密。

- （二）審定委員會以院長為召集人並為會議主席。若院長須迴避時，召集人由教務長擔任；若院長及教務長均須迴避時，則由校長指定副校長一人擔任。

七、學術倫理案件處理過程中之相關人員，與被檢舉人有下列情事之一者，應自行迴避：

- （一）曾有指導博士、碩士學位論文之師生關係。
- （二）配偶、前配偶、四親等內之血親或三親等內之姻親，或曾有此關係。
- （三）近三年發表論文或研究成果之共同參與研究者或共同著作人。
- （四）審查該案件時共同執行研究計畫。
- （五）現為或曾為被檢舉人之訴訟代理人或輔佐人。

被檢舉人得申請下列人員迴避：

- （一）有前項所定之情形而不自行迴避者。
- （二）有具體事證足認其執行職務有偏頗之虞者。

相關人員有第一項所定之情形而未自行迴避，或其執行職務有偏頗之虞者，審定委員會應依職權命其迴避。

八、審定委員會得視檢舉情形審查被檢舉人之博、碩士學位論文，包含論文內容及結果之真實性、確認是否由他人代寫、比對文獻引用情形及審查論文原創性、貢獻度等。

九、召開審定委員會時，應以書面通知被檢舉人提出說明或到場陳述意見，未於通知期限內提出說明書或到場陳述意見者，視為放棄陳述之機會，審定委員會必要時得邀請被檢舉人之指導教授列席說明。

審定委員會開會時，委員應有三分之二以上出席。審定完竣後，應做成具體決議，論文違反學術倫理認定，應以出席委員三分之二以上同意行之，其審定報告書及會議紀錄，送交教務處簽請教務長核定後通知檢舉人與被檢舉人審定結果。

十、

（刪除）

十一、經審定確認博、碩士學位論文違反學術倫理情節重大者，應予撤銷學位，公告註銷其已發之學位證書，通知繳還學位證書，並將撤銷與註銷事項，

通知其他大專校院及相關機關（構）；其有違反其他法令者，並應依相關法令處理。

經審定未達前項程度，但仍有違反學術倫理情形者，審定委員會得限期命被檢舉人修正、公開道歉或採取其他適當之處置。

十二、以專業實務報告、作品、成就證明、書面報告或技術報告等取得博、碩士學位者，涉有違反學術倫理情事者，準用本要點。

十三、本要點如有未盡事宜，悉依教育部及本校相關規定辦理。

十四、本要點經教務會議通過後，自發布日施行。

NATIONAL TAIWAN UNIVERSITY

Directives for Handling Suspected Violations of Academic Ethics in Theses/Dissertations

January 03, 2014	Passed by the 2 nd Academic Affairs Meeting, fall semester, Academic Year 2013–14
October 21, 2016	Passed by the 1 st Academic Affairs Meeting, fall semester, Academic Year 2016–17
March 22, 2019	Passed by the 1 st Academic Affairs Meeting, spring semester, Academic Year 2018–19
June 14, 2019	Passed by the 2 nd Academic Affairs Meeting, spring semester, Academic Year 2018–19
March 20, 2020	Passed by the 1 st Academic Affairs Meeting, spring semester, Academic Year 2019–20

1. National Taiwan University (NTU or “the University”) hereby formulates the NTU *Directives for Handling Suspected Violations of Academic Ethics in Theses/Dissertations* (“the Directives”) in accordance with Article 17 of the *Degree Conferral Act* to establish an impartial and unbiased mechanism for handling suspected violations of academic ethics pertaining to master’s theses and doctoral dissertations.
2. A “thesis/dissertation” referred to herein shall be a student’s master’s thesis or doctoral dissertation for which a degree is issued by the University in the manner stipulated by the *Degree Conferral Act*.
3. A violation of academic ethics, as referred to herein, shall mean any of the following forms of conduct:
 - 1) Plagiarism: The use of another person’s writing without crediting the author (including materially improper citations)
 - 2) Fraud: Falsification (making up false data or engaging a third party to write the thesis/dissertation) and alteration (manipulation of data)
 - 3) Other conduct that violates academic ethics and integrity, as determined by the competent authority of the University

The type of conduct that constitutes plagiarism, fraud, or other unethical behavior shall be determined by an ad hoc thesis/dissertation academic ethics review committee (“review committee”) made up of members appointed by the college(s) involved.

4. Persons seeking to report a violation of academic ethics pertaining to a thesis or dissertation written under the auspices of the University may do so in writing by attaching the necessary evidence and indicating their legal name, contact number, and mailing address. If the allegation is verified as a violation of academic ethics as defined, the University shall process the case with all due speed. The identity of the informant shall be kept strictly confidential.

If the University receives an anonymous tip alleging potential ethical violations or if it is made aware of such violations through other means, the Office of Academic Affairs may initiate an investigation as deemed necessary and pursue it to the extent permitted by its authority.

5. Reports of ethical violations, as described in the preceding article, shall be submitted to the Office of Academic Affairs, whose personnel (including the Vice President for Academic Affairs) shall, within four days of receipt, review the allegations and determine whether to establish a case or not based on its conformance to the formal

requirements of a report. If the report in question does not conform to the formal requirements stipulated herein, the Office of Academic Affairs may close the case by notifying the informant in writing. Otherwise, the Office of Academic Affairs shall require the college with which the student in question is affiliated to form a review committee within 10 days, conduct the review process in secrecy, and issue a resolution within two months.

6. Matters pertaining to a review committee's members, meetings, and resolutions shall be handled in accordance with the following provisions:
 - 1) The review committee shall consist of five to seven impartial scholars and experts in law or a relevant professional field from within or outside of the University appointed by the chair of the department (or institute or degree program) and the dean of the college with which the accused student is affiliated. Personnel and faculty members of the department/institute/degree program may not make up more than one third of the committee members. The names of the committee members shall be kept confidential.
 - 2) The dean of the college shall be the *ex officio* convener and chair of the review committee. In the event of a conflict of interest between the dean and the accused student, the Vice President for Academic Affairs shall serve as the convener instead. If a conflict of interest exists for both the dean and the Vice President for Academic Affairs, the President of the University shall appoint another vice president to serve as the convener.
7. To ensure the objectivity and impartiality of the review committee, those currently serving or previously having served as the thesis/dissertation advisor or degree examination committee member; those within the fourth degree of kinship by marriage or by blood; and those in an academic partnership or having a conflict of interest with the accused student shall not serve on the committee.
8. The accused shall be notified in writing to provide a written statement by the stipulated deadline or attend the review meeting to provide a statement in person. Failure to respond within the stipulated period or attend the meeting in person shall be deemed a voluntary forfeiture of their right to a statement. If necessary, the review committee may require the presence of the accused student's advisor(s) during a meeting.
9. The review committee shall review the accused student's master's thesis or doctoral dissertation on matters including, but not limited to, the authenticity of the content and research findings contained therein, whether the student commissioned a third party to write the thesis/dissertation, whether proper citation rules were followed, and the degree of originality and the academic contributions made by the student.
10. A concrete resolution shall be issued by the review committee following the review process, with at least two thirds of the members attending and at least two thirds of those attending concurring in their opinion. The review report and meeting minutes shall be submitted to the Office of Academic Affairs and the Vice President for Academic Affairs for approval, after which both the informant and the student shall be notified of the results.
11. A student whose thesis or dissertation is found to have violated academic ethics and integrity in a serious manner shall have their degree revoked and the associated

diploma invalidated via a public announcement. The University shall notify the student to return their diploma and inform other tertiary educational institutions and relevant agencies (organizations) of the revocation and invalidation. A student found to be in violation of other laws and regulations shall be handled in accordance with the provisions therein.

A student found to have violated academic ethics and integrity, but not to the extent described in the preceding paragraph, shall be ordered to revise their thesis/dissertation accordingly, make a public apology, or take other action as deemed appropriate by the review committee, by the stipulated deadline.

12. The Directives may apply *mutatis mutandis* to academic ethics violations in a professional practice report, work, proof of achievement, written report, or technical report submitted for degree consideration in lieu of a master's thesis or doctoral dissertation.
13. Matters not addressed herein shall be subject to the applicable regulations of the University and the Ministry of Education.
14. The Directives shall be implemented on the date of promulgation following the passage by the Academic Affairs Meeting.