

國立臺灣大學論文指導教授與研究生互動準則

112.10.20 112 學年度第 1 學期第 1 次教務會議修正通過
112.10.26 發布修正第一、三、七、八、九、十、十一條及新增第六條

- 第一條 國立臺灣大學（下稱本校）為規範論文指導教授與研究生之互動關係，訂定國立臺灣大學論文指導教授與研究生互動準則（下稱本準則）。
- 第二條 研究生應於所屬系、所、學位學程（下稱系所）規定之期限內，選定學位論文指導教授（下稱指導教授），並持指導教授之書面同意書，向系所辦公室登記。研究生之配偶或三親等內之血親、姻親，不得擔任其指導教授。
- 第三條 研究生無法覓得指導教授，或依第五條及第六條規定與指導教授終止指導關係，或因指導教授生病、辭職及過世等因素無法再繼續指導時，系所主管於研究生覓得新指導教授前，應積極輔導或轉介，並提供必要之協助。
- 第四條 研究生獲原指導教授同意更換指導教授者，應檢附下列文件交由系所備查，指導教授自備查之日起十日後自動更換，但有違反系所相關規定者，不在此限。
- 一、研究生聲明「在未得原指導教授之書面同意時，不以與原指導教授指導之研究計畫成果當作學位論文之主體」之聲明書。
 - 二、於原指導教授同意下，簽署「雙方可共同發表原研究計畫成果」或「原研究計畫成果發表權為其中一人所有」之協議書。
 - 三、新的指導教授之書面同意書。
- 前項所定文件正本一式三份，經系所備查後，一份交予原指導教授，一份留存系所辦公室，一份研究生自行保留。
- 研究生如有二位以上之指導教授，僅欲終止與其中一位教授之指導關係時，不須檢附第一項第三款之文件。
- 第五條 指導教授因故主動提出終止指導關係，應於雙方同意下，簽署「雙方可共同發表原研究計畫成果」協議書或「原研究計畫成果發表權為其中一人所有」協議書，並交由系所備查，指導關係自備查之日起十日後即為終止。
- 第六條 指導教授對其指導之研究生為性侵害、性騷擾或性霸凌之行為，案件經本校性別平等教育委員會（下稱性平會）決議性平事件成立時，該名研究生得請求本校性平會密件函知系所，以終止指導關係。
- 系所收到前項性平會函文之日起，師生指導關係即行終止。系所應立即主動協調雙方簽署「雙方可共同發表原研究計畫成果」協議書或「原研究計畫成果發表權為其中一人所有」協議書，並應於一個月內作出協調結果，以書面通知研究生及指導教授，且副知學院。
- 系所依前項規定進行協調時，應基於性別平等教育法第二十三條至第二

十五條及國立臺灣大學校園性侵害性騷擾或性霸凌防治要點第十二條規定之精神，並以研究生能接受之溝通形式為原則，且不得運用可能形成不對等之權力與地位之方式為之。

第七條 更換指導教授者，應於學位論文口試十日前，將論文文稿以親自送交、系所轉交或郵寄雙掛號之方式，送原指導教授簽收。以郵寄雙掛號方式送交論文文稿者，以掛號郵件收件回執日期視為原指導教授簽收日期。原指導教授如認研究生有違反聲明書及協議書情事者，至遲應於研究生舉辦論文口試五日前向系所提出異議。

研究生之學位論文文稿經原指導教授提出異議者，其學位論文口試應暫停舉辦；系所應於受理異議之日起一個月內召開會議決議之，並將決議結果以書面通知研究生及原指導教授，且副知學院。

第八條 研究生如有下列情形之一者，得檢具證明文件向系所申請召開協調會議：

- 一、原指導教授不同意研究生提出更換指導教授之申請。
- 二、接獲指導教授主動提出終止指導關係通知後，研究生有異議。
- 三、研究生更換指導教授後，擬繼續使用原指導教授指導期間由研究生產出之研究成果，未獲原指導教授同意。
- 四、已達最低修業年限且符合所屬系所學位考試資格，仍無法獲得指導教授同意進行學位考試。
- 五、通過學位考試且研究生認為已依委員意見將論文內容修正完成，但仍無法獲得指導教授及委員簽署。
- 六、研究生無法與指導教授取得聯繫達二個月。

系所受理前項協調申請後，由系所主管擔任召集人，邀集指導教授、四人以上相關領域教師及研究生，召開協調會議，並得邀請系所或研究生協會之學生代表一人列席。如研究生之指導教授為系所主管時，由學生所屬學院院長自專任教師中指派一人擔任。

系所應於受理協調會議申請之日起一個月內作出協調結果，並以書面通知研究生及指導教授，且副知學院。

第九條 研究生對不接受系所依本準則規定所為決議或協調結果者，得於收受書面通知之日起十日內，依國立臺灣大學學生申訴評議辦法向學生申訴評議委員會提出申訴。

第十條 研究生未依本準則規定辦理而逕自更換指導教授時，其學位考試成績不予承認。

第十一條 本準則經教務會議通過後，自發布日施行。

（完整修正歷程）

91.03.18 90學年度第2學期第1次教務會議決議通過
96.06.08 95學年度第2學期第2次教務會議修正通過
99.10.15 99學年度第1學期第1次教務會議修正通過

100.06.10 99 學年度第 2 學期第 2 次教務會議修正通過
104.10.16 104 學年度第 1 學期第 1 次教務會議修正通過
110.01.08 109 學年度第 1 學期第 2 次教務會議修正通過
111.10.14 111 學年度第 1 學期第 1 次教務會議修正通過
111.10.21 發布修正第一、二、四、十一條
112.03.17 111 學年度第 2 學期第 1 次教務會議修正通過
112.03.24 發布修正第一、二、三、四、五、六、七、八、九條

NATIONAL TAIWAN UNIVERSITY

Standards of Conduct between Thesis/Dissertation Advisors and Graduate Students

October 20, 2023— Approved by the 1st Academic Affairs Meeting of the 1st Semester of Academic Year 2023–24
October 26, 2023—Promulgated Articles 1,3,7,8,9,10 and 11

- Article 1 National Taiwan University (hereinafter referred to as NTU or “the University”) has formulated the following standards of conduct between thesis/dissertation advisors and graduate students (hereinafter, standards).
- Article 2 Graduate students shall select a thesis/dissertation advisor (hereinafter, advisor) and submit a written thesis/dissertation advisor agreement form to register their choice of advisor with the office of their department, graduate institute, or degree program (hereinafter, “academic division”) within the time frame stipulated. Spouses or relatives (by blood or marriage) within the third degree of kinship to a master’s or doctoral student may not serve as the student’s thesis/dissertation advisor.
- Article 3 If a graduate student is unable to select an advisor or in accordance with Article 5 or Article 6 terminate the student-advisor relationship with the advisor or their advisor is no longer able to carry out advising duties due to illness, resignation, or death, the student’s head of academic division should be active in providing guidance or referral and provide the necessary assistance before the student select a new advisor.
- Article 4 Graduate students who plan to change advisors, assuming consent has been granted by their current advisors, shall submit the following documents for the office of their academic division. Applications for a change of advisor deemed to be in compliance with the regulations of the academic division shall become effective ten days after submission.
1. A written statement from the graduate student stating that the student shall not incorporate the results of any research projects conducted under the instruction of their original advisor into their own thesis/dissertation without their original advisor’s written consent.
 2. A written agreement signed by both parties stating that the results of the original research project(s) may be co-published by both parties or that the results of the original research project(s) may only be published by one of the parties with the original advisor’s consent.
 3. A completed thesis/dissertation advisor agreement form from the graduate student’s new advisor.

Three original copies of the aforementioned documents are required. One copy shall be kept by the graduate student’s original advisor; one copy shall be filed at the office of the student’s original academic division; and one copy shall be kept by the student.

In cases where a graduate student has two advisors and only wishes to terminate the student-advisor relationship with one advisor, the provisions of Paragraph 1, Subparagraph 3 shall not submit.

Article 5 An advisor who wishes to terminate the student-advisor relationship with a graduate student, he/she and the graduate student should sign an agreement stating that the results of the original research project(s) may be co-published by both parties or that the results of the original research project(s) may only be published by one of the parties and submit the agreement to the office of the academic division. Termination of student-advisor relationship shall become effective ten days after submission.

Article 6 Provided that a case in which a graduate student is sexually assaulted, sexually harassed or sexually bullied by his/her thesis/dissertation advisor is regarded as a gender equity incident by NTU Gender Equity Education Committee (hereinafter referred to as GEEC), the graduate student may require GEEC to send an official document to his/her academic division by keeping it confidential in order to terminate the student-advisor relationship.

The student-advisor relationship shall be immediately terminated once the academic division receives the official document mentioned in the preceding paragraph from GEEC. The academic division shall take immediate action to coordinate the student and advisor to sign an agreement on clarifying whether the original research results may be presented by both of the student and advisor or merely one of them; coordination results shall be made, and the academic division shall notify both sides in writing and forward a notification to its college within one month.

When coordinating both sides in accordance with the preceding paragraph, the academic division shall not only act in the way that graduate students may accept, but in the spirit of article 23 to 25 of Gender Equity Education Act, and article 12 of NTU Directives for the Prevention of Sexual Assault, Sexual Harassment, and Sexual Bullying on Campus. Meanwhile, latent unequal power relations shall be strictly prohibited.

Article 7 A graduate student who has changed advisor shall submit one copy of his/her thesis/dissertation to the original advisor by personally delivered, delivered by the academic division, or sent by registered with advice of receipt ten days prior to the student's thesis/dissertation defense. The advisor shall sign for it in person. Thesis/dissertation sent by registered with advice of receipt, the date of advice of delivery considered as date of signature. If the original advisor has any reason to dispute the written statement, they shall file an appeal with the responsible academic division five days prior to the student's thesis/dissertation defense.

Once an appeal has been filed, the student's thesis/dissertation defense shall be suspended until a decision has been reached by the responsible department, institute, or degree program in a meeting held within one month of the appeal filing. The decision shall be notified in writing to the graduate student, original advisor, and the College for reference.

Article 8 A graduate student may file a request with his or her academic division to hold a mediation meeting, provided that one of the following circumstances applies:

1. The graduate student has filed a request to change his or her advisor, but the advisor has not granted his or her consent.
2. The graduate student has received a notification from his or her advisor

stating the latter's wish to terminate their student-advisor relationship, but the graduate student objects to the proposed termination.

3. The graduate student who has changed advisor intends to continue using research results produced during the period of supervision by the original advisor but the original advisor does not agree.
4. The graduate student has reached the minimum program length and has been qualified for a thesis/dissertation defense by the criteria set by his or her academic division but is unable to receive his or her advisor's consent to proceed with the thesis/dissertation defense.
5. The graduate student has passed the thesis/dissertation defense and has come to the conclusion that he or she has revised his or her thesis/dissertation based on the suggestions and opinions of the members of the degree examination committee, but has failed to secure the final approval of his or her thesis/dissertation from the advisor and the members of the examination committee.
6. The graduate student is unable to contact his/her advisor for two months.

After receiving the student's request for mediation, the head of the responsible academic division shall convene a mediation meeting to be attended by the advisor, at least four faculty members in relevant disciplines, and the graduate student and may invite one student representative from the academic division or graduate student association to attend. In the event that the head of the student's academic division is his or her advisor, then the dean of the College shall appoint another full-time faculty member as the convener of the mediation meeting.

The academic division shall communicate the results of the mediation to the advisor, the student, and the College for reference in writing within one month of receiving the student's request for mediation.

Article 9 If the graduate student does not accept the decision or mediation made by the academic division in accordance with standards, he or she may file an appeal with the NTU Student Grievance Committee, pursuant to the NTU Guidelines for Student Grievances, within ten days of receiving official notification of the results of the academic division mediation.

Article 10 In the event that a graduate student changes advisors at their own discretion without following these standards, the grade they receive for their thesis/dissertation defense shall not be recognized.

Article 11 These standards shall take effect upon approval of the Academic Affairs Meeting.

March 18, 2002–Approved by the 1st Academic Affairs Meeting of the 2nd Semester of Academic Year 2001–02
June 8, 2007–Approved by the 2nd Academic Affairs Meeting of the 2nd Semester of Academic Year 2006–07
October 15, 2010–Approved by the 1st Academic Affairs Meeting of the 1st Semester of Academic Year 2010–11
June 10, 2011–Approved by the 2nd Academic Affairs Meeting of the 2nd Semester of Academic Year 2010–11
October 16, 2015–Approved by the 1st Academic Affairs Meeting of the 1st Semester of Academic Year 2015–16
January 8, 2021– Approved by the 2nd Academic Affairs Meeting of the 1st Semester of Academic Year 2020–21
March 17, 2023–Approved by the 1st Academic Affairs Meeting of the 2nd Semester of Academic Year 2022–23
March 24, 2023–Promulgated Articles 1,2,3,4,5,6,7,8, and 9