

## 國立臺灣大學研究所博士暨碩士學位考試規則

111.10.14 111 學年度第 1 學期第 1 次教務會議通過

111.10.21 發布修正第一、五、九、十三條

111.12.23 111 學年度第 1 學期第 2 次教務會議通過

112.01.03 發布修正第三、九條

第一條 本校為辦理研究生學位考試並維護碩、博士論文學術品質，依大學法及其施行細則、學位授予法等規定，訂定國立臺灣大學研究所博士暨碩士學位考試規則。

第二條 研究生符合下列規定者，得申請碩士或博士學位考試：

- 一、碩士班修業逾一學期，博士班修業逾三學期。逕行修讀博士學位者，在博士班修業逾三學期。
- 二、修畢或當學期可修畢各該系、所、學位學程（以下簡稱系所）規定之應修科目學分，並符合各該系所之其他規定。
- 三、已完成論文初稿，並經指導教授確認其論文題目與內容符合所屬系所專業領域。
- 四、博士班研究生應經資格考核及格，碩士班研究生必要時亦得要求其經資格考核及格。
- 五、前款資格考核之科目與辦法由各系所自行定之。

第三條 研究生申請碩士或博士學位考試，應依下列規定辦理：

一、申請期限：

第一學期自行事曆上課開始日起，至十一月卅日止；第二學期自行事曆上課開始日起，至四月卅日止。但因特殊原因經指導教授、系所主管同意者，不在此限。

二、申請時，應填具申請書，並檢齊下列各項文件：

（一）歷年成績表一份。

（二）論文初稿及其提要各一份。

藝術類、應用科技類或體育運動類之碩、博士班學生，其論文得以作品、成就證明連同書面報告或以技術報告代替；各該類科之認定基準，由各該系所提請行政會議通過後實施。

碩士班屬專業實務者，其學生碩士論文得以專業實務報告代替；專業實務之認定基準，由各該系所提請行政會議通過後實施。

學生之作品、成就證明連同書面報告、技術報告或專業實務報告，代替碩、博士論文之認定範圍、資料形式、內容項目及其他相關事項之準則，另依教育部各類學位名稱訂定程序授予要件及代替碩士博士論文認定準則規定辦理。

三、經指導教授及所屬系所主管同意後報請學校核備。

第四條 學位考試依下列程序進行：

一、組織碩士或博士學位考試委員會。

二、辦理學位考試。

第五條 組織碩士或博士學位考試委員會，應依下列規定辦理：

一、碩士學位考試委員三至五人，博士學位考試委員五至九人，由校長遴聘之，並由系所主管指定一人為召集人，但指導教授不得擔任召集人。

指導教授及共同指導教授以外的學位考試委員，碩士學位考試至少二人，博士學位考試至少四人，其中所外委員至少一人。

碩、博士班學生之配偶或三親等內之血親、姻親，不得擔任其指導教授、學位考試委員。

二、博士學位考試委員，應對博士學位候選人之研究領域有專門研究，並具有下列資格之一：

（一）現任或曾任教授、副教授。

（二）中央研究院院士、現任或曾任中央研究院研究員、副研究員。

（三）獲有博士學位，且在學術上著有成就。

（四）研究領域屬於稀少性或特殊性學科，且在學術或專業上著有成就。

前款第三目、第四目資格之認定基準，由各系所會議訂定之。

三、碩士學位考試委員，應對修讀碩士學位學生之研究領域有專門研究，並具有下列資格之一：

（一）現任或曾任教授、副教授、助理教授。

（二）中央研究院院士、現任或曾任中央研究院研究員、副研究員、助研究員。

（三）獲有博士學位，且在學術上著有成就。

（四）研究領域屬於稀少性、特殊性學科或屬專業實務，且在學術

或專業上著有成就。

前款第三目、第四目資格之認定基準，由各系所會議訂定之。

第六條 辦理學位考試，應符合下列規定：

- 一、研究生申請學位考試核準備案後，應檢具繕印之學位論文與提要（繳交系所規定之份數），送請所屬系所審查符合規定後各擇期辦理有關學位考試事宜。考試方式，以口試行之，必要時得在實驗室舉行實驗考試。
- 二、學位考試時，必須評定成績，評定以一次為限，且不得以『預備會』或『審查會』名義，而不予評定成績；其未評定成績者，以考試不及格論。
- 三、學位考試成績，以B－（或百分制七十分）為及格，並以出席委員評定成績平均決定之。論文有抄襲或舞弊情事，經碩士、博士學位考試委員會審查確定者，以不及格論。
- 四、考試委員缺席時，不得以他人代理。碩士學位考試至少須委員三人出席，博士學位考試至少須委員五人出席，否則不得舉行考試；已考試者，其考試成績不予採認。
- 五、學位考試成績不及格，其修業年限尚未屆滿者，得於次學期或次學年重考，重考以一次為限；重考成績仍不及格者，應令退學。  
逕行修讀博士學位者，未通過博士學位考試，經博士學位考試委員會決定合於碩士學位標準者，得授予碩士學位。

第七條 學位考試應於研究生申請之該學期學校行事曆規定學期結束日之前舉行。

學位考試得於資格考核同一學期內舉行，亦得因故延期；但須在規定修業年限內舉行。

第八條 已申請學位考試之研究生，若因故無法於該學期內完成學位考試，應於學校行事曆規定學期結束日之前報請學校撤銷該學期學位考試之申請。逾期未撤銷亦未舉行考試者，以一次不及格論。

第九條 學位考試舉行後，各系所應將學位考試成績送交教務處，俟研究生繳交修訂完成之論文，且該論文應附有全體學位考試委員簽署同意之審定書，教務處始得將各該生合格之學位考試成績登錄於成績單。

研究生未能於舉行學位考試當學期完成應修科目與學分，或因故未能符合畢業資格時，其學位考試成績保留。

通過學位考試之研究生，應繳交論文學術倫理暨原創性聲明書、學位論文紙本、全文電子檔、學位考試成績，並於辦妥離校程序後，教務處始得發予學位證書。

論文（含紙本及電子檔）之繳交期限，第一學期為行事曆次學期上課開始日、第二學期為次學期上課開始日三週前（各系所有更嚴格規定者，從其規定）。

逾期未交論文而未達修業年限者，次學期仍應註冊。修業年限屆滿仍未依規定繳交論文者，應予退學。

第三項所繳交之學位論文紙本（精裝或平裝）及冊數依各系所及本校圖書館畢業生離校相關規定辦理。

學位論文以公開為原則，以利學術流通及分享。但涉及機密、專利事項或依法不得提供，應檢附證明文件並經指導教授與系所主管認定者，學位論文得延後公開。延後公開須訂定合理期限，至多為五年。依上開延後公開規定，若須申請超過五年者，其論文延後公開期限應經教務處審定。

第十條 研究生因學位考試與指導教授產生爭議時，得依本校論文指導教授與研究生互動準則辦理。

研究生之學位論文若有不符系所專業領域爭議，由所屬學院進行調查後，將指導教授應課責處置報教務處核可。

第十一條 已於國內、境外取得學位之論文、作品、成就證明、書面報告、技術報告或專業實務報告，不得作為第二條或第三條申請碩士或博士學位考試之論文、作品、成就證明、書面報告、技術報告或專業實務報告。但經由學術合作，與境外學校共同指導論文，並分別授予學位者，不在此限。

第十二條 已授予之學位，有下列情事之一者，應予撤銷，並公告註銷其已頒給之學位證書；有違反其他法令規定者，並依相關法令規定處理：

一、入學資格或修業情形有不實或舞弊情事。

二、論文、作品、成就證明、書面報告、技術報告或專業實務報告有

造假、變造、抄襲、由他人代寫或其他舞弊情事。

依前項規定撤銷學位後，應通知當事人繳還該學位證書，並將撤銷及註銷事項，通知其他專科學校、大學及相關機關（構）。

第十三條 本規則經教務會議通過後，自發布日施行，並報教育部備查。

**【完整修正歷程】**

098.02.05 教育部臺高（二）字第 0980012895 號函准備查  
098.02.06 校教字第 098004475 號發布  
099.10.15 本校 99 學年度第 1 學期第 1 次教務會議修正通過  
099.10.21 校教字第 0990045702 號發布  
100.10.14 本校 100 學年度第 1 學期第 1 次教務會議修正通過  
100.11.16 教育部臺教高（二）字第 1000200968 號函准備查  
101.06.08 本校 100 學年度第 2 學期第 2 次教務會議修正通過  
101.08.06 教育部臺教高（二）字第 1010143078 號函准備查  
102.06.07 本校 101 學年度第 2 學期第 2 次教務會議修正通過  
104.10.16 本校 104 學年度第 1 學期第 1 次教務會議修正通過  
104.10.30 教育部臺教高（二）字第 1040146758 號函准備查  
108.03.22 本校 107 學年度第 2 學期第 1 次教務會議修正通過  
108.05.24 教育部臺教高（二）字第 1080067271 號函准備查  
108.10.18 本校 108 學年度第 1 學期第 1 次教務會議修正通過  
108.12.24 教育部臺教高（二）字第 1080177182 號函准備查  
110.03.19 本校 109 學年度第 2 學期第 1 次教務會議修正通過  
110.06.10 教育部臺教高（二）字第 1100063780 號函准備查  
110.06.18 本校 109 學年度第 2 學期第 2 次教務會議修正通過  
110.07.09 教育部臺教高（二）字第 1100087426 號函准備查

# NATIONAL TAIWAN UNIVERSITY

## Rules Governing Thesis/Dissertation Defense

October 14, 2022 Amended and passed by the 1<sup>st</sup> Academic Affairs Meeting, fall semester, Academic Year 2022–2023

October 21, 2022 Promulgated Articles 1, 5, 9, and 13

December 23, 2022 Amended and passed by the 2<sup>nd</sup> Academic Affairs Meeting, fall semester, Academic Year 2022–2023

January 03, 2023 Promulgated Articles 3, and 9

**Article 1** National Taiwan University (NTU or “the University”) Rules Governing Thesis/Dissertation Defense are formulated to conduct thesis/dissertation defense and maintain the quality of theses in accordance with the University Act, the Enforcement Rules of the University Act, and the Degree Conferral Act.

**Article 2** Graduate students who meet the following criteria may apply for a thesis/dissertation defense:

1. Master’s students who have completed no less than one semester of study or doctoral students (including those in a direct-entry doctoral degree program) who have completed no fewer than three semesters of study;
2. Students who have completed or expect to complete the required courses and credit requirements stipulated by their department, institute, or degree program (hereinafter collectively referred to as “academic program”) in the current semester in accordance with the relevant regulations stipulated;
3. Students who have completed the first draft of their thesis/dissertation, provided that the thesis/dissertation advisor has confirmed that the title and subject matter of the thesis/dissertation are consistent with the academic program’s area(s) of expertise;
4. Doctoral students (and master’s students if applicable) who have passed the qualifying examination.
5. The contents of the rules and regulations governing the qualifying examination mentioned in the preceding subparagraph shall be separately stipulated by the responsible academic program.

**Article 3** Graduate students who wish to apply for a thesis/dissertation defense shall be subject to the following guidelines:

1. Application:

From the start of classes as announced in the Academic Calendar to November 30 (fall semester) or April 30 (spring semester). This restriction shall not apply, however, to students who, with special circumstances, have received approval from their thesis/dissertation advisor and the head of their academic program.

2. Application forms shall be submitted with the following documents attached:

- 1) One copy of the student's full academic transcript;
- 2) One copy of the abstract and the first draft of the student's thesis/dissertation.

Master's and doctoral students in the fields of the arts, applied technologies, or sports may substitute a work/works, a certificate of achievement with a written report, or a technical report for their thesis or dissertation. The criteria for degree qualification of these fields of study shall be stipulated by the responsible academic program and submitted to the Administrative Meeting for approval before implementation.

Master's students in a field of professional practice may substitute their thesis with a professional practice report. The criteria for degree qualification in different fields of professional practice shall be stipulated by the responsible academic program and submitted to the Administrative Meeting for approval before implementation.

The standards for the recognition, format, and content of thesis/dissertation substitutes such as work(s), certificates of achievement with a written report, technical reports, or professional practice reports and other related matters shall be implemented in accordance with the regulations governing the procedures for determining the name of each of the degrees in different categories at each level, and alternatives to submission of a master's degree thesis or doctoral dissertation by the Ministry of Education.

- 3) Applications shall be submitted to the University for reference after approval has been received from the student's thesis/dissertation advisor and the head of the academic program.

Article 4 Thesis/dissertation defenses shall be held according to the following procedures:

1. A thesis/dissertation defense committee shall be formed.
2. The thesis/dissertation defense shall be conducted.

Article 5 Thesis/dissertation defenses shall be held in accordance with the following rules:

1. A thesis defense committee shall be composed of three to five members; a dissertation defense committee shall be composed of five to nine members. The President of NTU is responsible for selecting thesis/dissertation defense committee members, one of whom shall be designated as the Chair by the head of the academic program. Thesis/dissertation advisors may not serve as Chair.

Apart from the student's advisor and co-advisor (if applicable), a thesis committee shall consist of a minimum of two members, one of whom must be from outside the student's graduate program; a dissertation committee shall consist of a minimum of four members, one of whom must be from outside the student's graduate program.

Spouses or relatives (by blood or marriage) within the third degree of kinship to a master's or doctoral student may not serve as the student's thesis/dissertation advisor or a member of their thesis/dissertation defense committee.

2. Dissertation defense committee members shall be specialists in the doctoral degree candidate's field of study and shall have at least one of the following qualifications:

- 1) A current or former professor or associate professor;
- 2) An academician at Academia Sinica or a current or former research fellow or associate research fellow at Academia Sinica;
- 3) A doctoral degree holder with significant academic achievements;
- 4) An individual with expertise in an unusual or highly specialized academic field who has significant achievements in the academic or professional field.

The criteria for determining the qualifications referred to in Items 3 and 4 of the preceding subparagraph shall be stipulated by the responsible academic program.

3. Thesis defense committee members shall be specialists in the master's student's field of study and shall meet any of the following qualifications:

- 1) A current or former professor, associate professor, or assistant professor;
- 2) An academician at Academia Sinica or a current or former research fellow, associate research fellow, or assistant research fellow at Academia Sinica;
- 3) A doctoral degree holder with significant academic achievements;
- 4) An individual with expertise in an unusual or highly specialized academic or professional field who has significant achievements in their academic or professional field.

The criteria for determining the qualifications referred to in Items 3 and 4 of the preceding subparagraph shall be stipulated by the responsible academic program.

Article 6 Thesis/dissertation defenses shall be held in accordance with the following rules:

1. Graduate students whose application for thesis/dissertation defense has been approved shall submit their thesis/dissertation and its abstract to their academic program for review (the number of copies submitted shall be stipulated by the academic program); once approved, students may schedule their thesis/dissertation defense. Thesis/dissertation defenses are conducted orally or, if necessary, through practical examinations in laboratories.



2. Thesis/dissertation defenses must be graded and may not be regraded. Grading may not be omitted on the grounds that the defense is a preliminary or initial review hearing. Theses/dissertations that have not been graded shall receive a failing grade.
3. Thesis/dissertation defenses shall be letter-graded by averaging the grades given by the committee members in attendance, with the passing grade being B- (in numerical terms, a grade of 70). Fraudulent or plagiarized theses/dissertations shall be given a failing grade after the violations have been reviewed and verified by the thesis/dissertation defense committee.
4. Absent thesis/dissertation defense committee members may not be replaced by substitutes. A thesis/dissertation defense shall not be held if the number of committee members does not meet the minimum quorum of three required for a thesis defense or five required for a dissertation defense. If a thesis/dissertation defense is carried out regardless, the grades given shall not be recognized.
5. Students who fail their thesis/dissertation defense but have yet to reach the end of their period of study may take the defense again in the following semester or academic year. Students who fail the second attempt shall be dismissed.

Students in direct-entry doctoral degree programs who fail their dissertation defense may be awarded a master's degree if the dissertation defense committee determines that the dissertation meets the standards required for a master's degree.

- Article 7     A graduate student's thesis/dissertation defense must be held before the end of the semester (as announced in the Academic Calendar) in which the student submitted their application.

Thesis/dissertation defenses may be held in the same semester as qualifying examinations and may be postponed due to schedule conflicts but must be held within the stipulated program length.

- Article 8     Graduate students who have applied for a thesis/dissertation defense should request the cancellation of their thesis/dissertation defense before the end of the semester (as announced in the Academic Calendar) if they are unable to present their thesis defense within that semester. Failure to cancel or present their thesis/dissertation defense shall count as one failure.

- Article 9     After a thesis/dissertation defense, the responsible academic program shall submit the resulting grade to the Office of Academic Affairs and collect the revised thesis/dissertation from the graduate student with an attached approval page bearing the signatures of all thesis/dissertation defense committee members. By then, the Office of Academic Affairs may record the student's passing grade for their thesis/dissertation defense on their academic transcript.

Graduate students who, in the semester of their thesis/dissertation defense, are unable to complete the required courses and credit requirements or meet

the graduation requirements of their program may request that the grade for their thesis/dissertation defense be retained.

Graduate students who pass their thesis/dissertation defense shall submit statement of academic ethics and originality comparison, both a hard copy and an electronic version of their thesis/dissertation and their thesis/dissertation grade, and shall complete the school-leaving procedures before receiving their diploma from the Office of Academic Affairs.

The submission deadline for theses/dissertations (both hard-copy and electronic submissions) for is as follows: fall semester – first day of classes in the following (spring) semester; spring semester – three weeks before classes begin in the following (fall) semester (for academic programs with a stricter standard, the stricter standard shall apply).

Students who fail to submit their thesis/dissertation before the deadline and who have yet to reach the end of their period of study shall register in the following semester; students who fail to submit their thesis/dissertation in accordance with the relevant regulations before the end of their program shall be dismissed.

The submission of hard-copy theses/dissertations (hardback or paperback binding) referred to in Paragraph 3 and the number of copies required shall be handled as stipulated by the responsible academic program and in accordance with the school-leaving procedures established by the NTU Library.

Thesis/dissertation should be accessible to the public in principle, for academic circulation and sharing. However, the thesis/dissertation involves confidential information, patent matters, or is not permitted to be provided on statutory grounds, all supporting documents should be attached and has been confirmed by the thesis/dissertation advisor and the head of academic program, the person is permitted to delay of public access to thesis/dissertation. The delay should be a reasonable period of no more than five years. According to the above-mentioned regulations, if it is necessary to apply for more than five years, the deadline should be approved by the Office of Academic Affairs.

Article 10 In the event that a graduate student has a dispute with their advisor over the thesis/dissertation defense, the provisions of the National Taiwan University Standards of Conduct between Thesis/Dissertation Advisors and Graduate Students shall apply.

If the dispute concerns whether the graduate student's thesis/dissertation is consistent with the academic program's area(s) of expertise, the affiliated college shall conduct an investigation into the matter and submit its decision regarding the advisor's accountability and applicable disciplinary action to the Office of Academic Affairs for final approval.

Article 11 A thesis/dissertation, work(s), certificate of achievement, written report, technical report, or professional practice report that has already been

submitted to obtain a degree in Taiwan or abroad may not be submitted as the thesis/dissertation, work(s), certificate of achievement, written report, technical report, or professional practice report referred to in Articles 2 and 3. This restriction shall not apply, however, if the University has engaged in institutional collaboration with a university abroad and the two universities have jointly supervised the thesis/dissertation with each university separately conferring a corresponding degree.

Article 12 A degree conferred by NTU shall be revoked under any of the following circumstances; the University shall also issue a public notice that the degree diploma that was awarded has been invalidated. If any law or ordinance has also been violated, the matter shall also be dealt with in accordance with the provisions of the applicable laws and regulations:

1. The student's eligibility for admission or their course of study involved dishonesty or fraud.
2. The student's thesis/dissertation, work(s), certificate of achievement, written report, technical report, or professional practice report is found to have been forged, altered, plagiarized, produced by a ghostwriter, or produced through other fraudulent means.

After the revocation of a degree in accordance with the provisions of the preceding paragraph, the University shall then notify the individual involved to return their diploma and inform other junior colleges, universities, and agencies or institutions of the circumstances of the revocation and invalidation.

Article 13 The Rules shall be passed by the Academic Affairs Meeting, implemented on the date of promulgation, and then submitted to the Ministry of Education for reference.

February 05, 2009	Filed by the Ministry of Education (MOE) for reference via official letter Tai Kao (2) Tzu No. 0980012895
February 06, 2009	Promulgated via NTU Xiao-Jaio-Zi No. 098004475
October 15, 2010	Amended and passed by the 1 <sup>st</sup> Academic Affairs Meeting, fall semester, Academic Year 2010–11
October 21, 2010	Promulgated via NTU Xiao-Jaio-Zi No. 0990045702
October 14, 2011	Amended and passed by the 1 <sup>st</sup> Academic Affairs Meeting, fall semester, Academic Year 2011–12
November 16, 2011	Filed by the MOE for reference via official letter Tai Chiao Kao (2) Tzu No. 1000200968
June 08, 2012	Amended and passed by the 2 <sup>nd</sup> Academic Affairs Meeting, spring semester, Academic Year 2011–12
August 06, 2012	Filed by the MOE for reference via official letter Tai Chiao Kao (2) Tzu No. 1010143078
June 07, 2013	Amended and passed by the 2 <sup>nd</sup> Academic Affairs Meeting, spring semester, Academic Year 2012–13
October 16, 2015	Amended and passed by the 1 <sup>st</sup> Academic Affairs Meeting, fall semester, Academic Year 2015–16
October 30, 2015	Filed by the MOE for reference via official letter Tai Chiao Kao (2) Tzu No. 1040146758
March 22, 2019	Amended and passed by the 1 <sup>st</sup> Academic Affairs Meeting, spring semester, Academic Year 2018–19
May 24, 2019	Filed by the MOE for reference via official letter Tai Chiao Kao (2) Tzu No.

1080067271  
October 18, 2019 Amended and passed by the 1<sup>st</sup> Academic Affairs Meeting, fall semester, Academic Year 2019–20  
December 24, 2019 Filed by the MOE for reference via official letter Tai Chiao Kao (2) Tzu No. 1080177182  
March 19, 2021 Amended and passed by the 1<sup>st</sup> Academic Affairs Meeting, spring semester, Academic Year 2020–2021  
June 10, 2021 Filed by the MOE for reference via official letter Tai Chiao Kao (2) Tzu No. 1100063780  
June 18, 2021 Amended and passed by the 2<sup>nd</sup> Academic Affairs Meeting, spring semester, Academic Year 2020–2021  
July 9, 2021 Filed by the MOE for reference via official letter Tai Chiao Kao (2) Tzu No. 1100087426